

01-24-01

A/REISSUE

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PTO/SB/50 (08-00)
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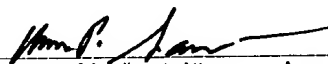
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REISSUE PATENT APPLICATION TRANSMITTAL

Address to: Assistant Commissioner for Patents Box Reissue Washington, DC 20231		Attorney Docket No. SONY-R7018 First Named Inventor KAZUNORI OZAWA Original Patent Number 5,870,710 Original Patent Issue Date (Month/Day/Year) 02/09/1999 Express Mail Label No. EK916866565US	
APPLICATION FOR REISSUE OF: (Check applicable box)		<input checked="" type="checkbox"/> Utility Patent <input type="checkbox"/> Design Patent <input type="checkbox"/> Plant Patent	
APPLICATION ELEMENTS (37 CFR 1.173)		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/56) (Submit an original, and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification and Claims in double column copy of patent format (amended, if appropriate) 4. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate) 5. <input checked="" type="checkbox"/> Reissue Oath/Declaration (original or copy) (37 C.F.R. § 1.175) (PTO/SB/51 or 52) 6. Original U.S. Patent currently assigned? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, check applicable box(es)) <input checked="" type="checkbox"/> Written Consent of all Assignees (PTO/SB/53) <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (PTO/SB/96)		7. <input checked="" type="checkbox"/> Statement of status/support for all changes to the claims. See 37 CFR 1.173 (c). 8. <input type="checkbox"/> Original U.S. Patent for surrender <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55) 9. <input checked="" type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119) (if applicable) 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable) 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. Other:	
15. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label or <input checked="" type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)			
Name CHARLES P. SAMMUT ATTORNEY AT LAW			
Address 350 WOODSIDE AVENUE			
City MILL VALLEY	State CA	Zip Code 94941-3822	
Country USA	Telephone 415-383-8341	Fax 415-388-7539	
NAME (Print/Type) CHARLES P. SAMMUT		Registration No. (Attorney/Agent) 28,901	
Signature <i>Charles P. Sammut</i>		Date January 23, 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.

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REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) SONY-R7018		
Claims as Filed - Part 1								
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A) 20	Total Claims (37 CFR 1.16(j))	(B) 75 55 =	x \$ _____ =		or	x \$ 18 = 990.00	
(C) 6	Independent claims (37 CFR 1.16(i))	(D) 19	. 13 =	x \$ _____ =			x \$ 80 = 1,040.00	
Basic Fee (37 CFR 1.16(h))					\$ _____		\$ 710.00	
Total Filing Fee					\$ _____	OR	\$ 2,740.00	
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	=	x \$ _____ =		x \$ _____ =	
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ _____ =		x \$ _____ =	
Total Additional Fee					\$ _____	OR	\$ _____	
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. _____ A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> A check in the amount of \$ _____ to cover the filing / additional fee is enclosed.</p> <p><input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>January 23, 2001 Date</p> <p> Signature of Applicant, Attorney or Agent of Record</p> <p>CHARLES P. SAMMUT, REG. NO. 28,901 Typed or printed name</p>								

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT



-1-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for Reissue of)	Applications Branch
)	
Patent No. 5,870,710)	
Issued: February 9, 1999)	
)	FOREIGN PRIORITY CLAIM
Inventors: Kazunori Ozawa et al.)	
)	350 Woodside Avenue
Application No. 08/785,958)	Mill Valley, CA 94941-3822
)	(415) 383-8341
Filed: January 22, 1997)	
)	Atty Docket: SONY-R7018
For: AUDIO TRANSMISSION,)	
RECORDING AND REPRODUCING)	
SYSTEM (AS AMENDED))	
_____)	

Box REISSUE
Commissioner for Patents
Washington, D.C. 20231

Sir:


In accordance with the provisions of MPEP §1417 and §201.14(b), applicants hereby claim the benefit of a foreign filing date under 35 U.S.C. §119 for Japanese Patent Application No. P08-030004 filed in Japan on January 24, 1996.

Such priority was claimed in the subject U.S. Patent No. 5,870,710 and a certified copy of the priority document has been filed therein. The subject patent was filed on January 22, 1997 as Application No. 08/785,958 by Kazunori Ozawa, Nobuhiro Tone and Masahiro Asai, for AUDIO TRANSMISSION, RECORDING AND REPRODUCING SYSTEM. Accordingly, under the provisions of

MPEP §201.14(B) it is not necessary to file an additional certified copy in the present reissue application.

Respectfully submitted,

Dated: January 23, 2001

By: 
Charles P. Sammut
Reg. No. 28,901

Attorney for Applicants

Attorney Docket No. SONY-R7018